

importers must require their business partners to demonstrate that they are meeting C-TPAT security criteria via written/electronic confirmation (e.g., contractual obligations; via a letter from a senior business partner officer attesting to compliance; a written statement from the business partner demonstrating their compliance with C-TPAT security criteria or an equivalent WCO accredited security program administered by a foreign customs authority; or, by providing a completed importer security questionnaire).Based upon a documented risk assessment process, non-C-TPAT eligible business partners must be subject to verification of compliance with C-TPAT security criteria by the importer.” Since APL/APLL are C-TPAT certified the above importer obligation does not apply.

The C-TPAT criteria further states: “For those business partners eligible for C-TPAT certification (carriers, ports, terminals, brokers, consolidators, etc.) the importer must have documentation (e.g., C-TPAT certificate, SVI number, etc.) indicating whether these business partners are or are not C-TPAT certified.”

More information can be found at:

http://www.cbp.gov/xp/cgov/trade/cargo_security/ctpat/security_criteria/criteria_importers/ctpat_importer_criteria.xml

Additional responses to common C-TPAT importer questions can be found at:

http://www.cbp.gov/xp/cgov/trade/cargo_security/ctpat/security_criteria/criteria_importers/questions.xml

Additional Information

C-TPAT Certification:

The C-TPAT program does not certify individual locations; it is the company that receives certification based on its business sector. APL and APL Logistics are certified companies and our facilities meet or exceed the C-TPAT security guidelines.

Importer C-TPAT Validations:

When CBP conducts a validation for an importer, one or more of APL/APLL facilities may be included in that visit and its security procedures may be reviewed. Importers should advise APL/APLL primary contact as soon as they learn about a validation that includes one of our facilities. The primary contact will notify our Global Security Department accordingly. If any security improvements are noted for the facility visited, CBP will provide a list of recommendations to the importer who should forward it to us. CBP has advised its members that re-validations will occur every three years.

Request for Third Party Audits:

Since APL and APL Logistics are C-TPAT certified, we do not allow third party audits of our facilities and any requests will be denied. Our customers are permitted to visit those areas of APL or APLL facilities where their cargo is handled and processed, subject to certain safety and security restrictions. For further information, please obtain a copy of *Policy for Conduct of Facilities Visits, Customer and Third Party Audits* from your APL/APLL primary contact.

For more information on our security efforts, please visit our website at www.apl.com/security